



Application No. (if known): 10/709,329

Attorney Docket No.: 20107/0200838-US1

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Submission of Replacement Drawing Sheets (2 pages)
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IFW

Docket No.: 20107/1200838-US1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Earl Rotman et al.

Application No.: 10/709,329

Confirmation No.: 3328

Filed: April 28, 2004

Art Unit: 3628

For: SYSTEM AND METHOD FOR CREATING
TRADEABLE FINANCIAL UNITS

Examiner: Nguyen, Nga B.

SUBMISSION OF REPLACEMENT DRAWINGS

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Sir:

Enclosed herewith are replacement drawings (8 sheets; Figures 1, 2, 3, 4, 5, 6, 7 and 8) for inclusion in the publication of the above-identified application.

Upon review of the image file wrapper at the United States Patent and Trademark Office, Applicant does not see copies of the drawing sheets submitted with the application on the filing date of April 28, 2004.

Drawings *were included* with the application at the time that it was filed. Specifically, this application was filed electronically using software which was authorized by the U.S. Patent and Trademark Office. At the time that this application was dispatched to the U.S.P.T.O., it included eight (8) sheets of drawings. If those drawings are not present in the U.S.P.T.O. file, they were lost at the U.S.P.T.O. or obliterated at the time that the U.S.P.T.O. received the electronic file. In either case, the drawings were present at the time of filing.

Second, Applicant believes that drawings are not *necessary* for the understanding of the subject matter sought to be patented. They were provided in the present instance as a

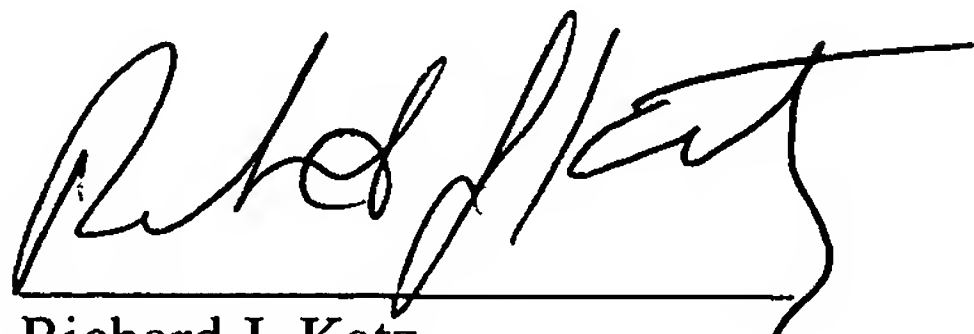
Serial No.: 10/709,329

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convenience in understanding the description. In fact, the drawings could be derived entirely from the description.

Nevertheless, drawings are being submitted with the present application in order to permit early publication, which has been requested. The Applicant intends to file a petition, in the U.S.P.T.O., in the event of any denial of the original filing date.

Dated: July 15, 2004



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